Piezoresponse Force Microscopy in Its Applications

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This application note gives a brief description of the Piezoresponse Force Microscopy methods and its implementation in NEXT scanning probe microscope. The capabilities of PFM studies with this device are illustrated by selected examples of imaging and spectroscopy studies on several piezoelectric and ferroelectric samples. They include lead zirconate titanate - PZT and bismuth ferrite - BFO films, ceramic samples of lead zirconate titanate doped with lanthanum - PLZT, parallel polarized lithium niobate - PPLN, crystals of triglycine sulphate - TGS.

Introduction

One of the remarkable features of Atomic Force Microscopy (AFM) – is the capability of performing studies of mechanical and electric properties at the small scale. In local electric measurements the conducting AFM probe is placed at or near a sample surface and it serves as an electrode that is sensing an electrostatic force, current, capacitance or a displacement of the sample in response to the external electric excitation. In one of such operations a specimen as a thin film or a block sample is placed between a contacting probe and a bottom electrode can undergo the piezo-electric testing. Some crystalline materials, whose structure lacks a central symmetry, exhibit the ferroelectric behavior by changing their dimensions in response to the applied electric field or vice versa. This property is employed in functional structures and devices for optoelectronics, different actuators, data storage, medical diagnostics, etc. The establishment of the structure-property relationship in these materials requires the comprehensive characterization of their electromechanical behavior at macroscopic and microscopic dimensions. One of AFM off-springs - Piezoresponse Force Microscopy (PFM) [1-4] is based on sensing of a tiny displacement of the samples caused by electric field excitation. A microfabricated probe with the tip dimensions in the nanometer scale is employed for this detection that can be performed with unique spatial resolution.

PFM, which is applied on the scale from a hundred of microns down to nanometers, can be used in different environments and at various temperatures. The method allows exploring the

- Ferroelectric domains imaging
- Hysteresis loops measurements
- Local ferroelectric behavior investigation

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electromechanical performance of ferroelectrics and piezoelectrics in terms of their domain morphology and dynamic characteristics of hysteresis loops and switching of polarization. Prior to PFM, the characterization of ferroelectric samples with optical and electron microscopy required the use of special preparation techniques such as etching and decoration. These efforts are not needed for PFM studies. This application note gives a brief description of the method and its implementation in NEXT scanning probe microscope. The capabilities of PFM studies with this device are illustrated by selected examples of imaging and spectroscopy studies on several piezoelectric and ferroelectric samples. They include lead zirconate titanate - PZT and bismuth ferrite - BFO films, ceramic samples of lead zirconate titanate doped with lanthanum – PLZT, parallel polarized lithium niobate - PPLN, crystals of triglycine sulphate - TGS.

Theoretical Outlook

In general case, the strain $S_j$ developed in a piezoelectric material by the applied electric field $E_i$ is described by the following matrix equation [5]:

$$S_j = d_{ij}E_i$$

where $d_{ij}$ is the piezoelectric coefficient or modulus with the unit of m/V. The indices 1–3 indicate components along the x, y, and z axis of an orthogonal coordinate system, and indices 4–6 indicate shear components of the strain tensor. The axes of the coordinate system are often chosen along the crystallographic axes of a crystal. In the case of ceramics and thin films, the z axis is usually aligned with the direction of the polarization, which is normal to the film plane. The longitudinal piezoelectric constant, $d_{33}$, can be determined by measuring the displacement ($\Delta z$) of the sample along the applied field ($E_3$):

$$\Delta z = d_{33}V$$

assuming $S_3 = \frac{\Delta z}{z_0}$ and $E_3 = \frac{V}{z_0}$,

where $V$ is the applied voltage and $z_0$ is thickness of the sample. The sample expands or contracts, if the polarization direction is respectively parallel or antiparallel to the applied field. Due to small dimensional changes (i.e. a vertical displacement of a 1-µm thick PZT film, which has the piezo modulus $d_{33} = 3,6E-10$ m/V) is below 1 nm) their detection is facilitated by AC measurements. For this purpose, $V = V_{AC} \cos(\omega t)$ voltage is applied to the tip and the cantilever displacements are expressed as follows

$$\Delta z = d_{33}V_{AC} \cos(\omega t + \Theta)$$

When $\Theta = 0$, polarization points down, and when $\Theta = \pi$, polarization points up. The piezoresponse will oscillate in-phase or out-of-phase if the polarization is, respectively, parallel or antiparallel to the field. Because of the presence of non-zero transverse piezoelectric constants, e.g., $d_{15}$, and the possible misalignment of polarization to the applied field due to a random crystal orientation, an electric field normal to the surface can also cause in-plane shear or lateral deformation. In our set-up the piezoresponses in vertical and lateral directions are analyzed with the lock-in amplifiers.

Studies of the piezoresponse are often performed by recording the sample strain and polarization dependences on the applied electric field [6]. The related curves, which exhibit

![Figure 1. Sketch illustrating the relationship between the polarization (P), strain (S) and electric field (E). A representation of the theoretical hysteresis loop is shown in (a). A representation of the theoretical quadratic hysteresis loop of strain vs. electric field is shown in (b). The numerical indicators in (a,b) indicate the E sweep direction and show the relationship between the two measurements, where in (b) the curve segments between 1-3 and 5-6 show the true piezoelectric components of the strain and the slope of these lines yields the $d_{33}$ modulus, and at points 3 and 6 the coercive field is reached and polarization is switched. Representations of actual polarization and strain, “butterfly” curves are shown in (c) and (d).](image-url)
hysteretic loops, are schematically shown in Figures 1a-1b. When the electric field changes from the negative polarity to the positive it changes gradually but at the point known as coercive field ($E_c$ indicated by point 3) the polarization increases abruptly, Figure 1a.

This indicates that a number of domains with dipoles oriented along the field have increased drastically. On further field increase the polarization again changes gradually. When the field is reversed the polarization decreases and at the negative coercive field ($E_c^-$ indicated by point 6) the polarization jumps to a negative value and continue decrease. The sample strain behavior during the same cycle of the electric field excitation is described in Figure 1b. The slopes of the lines, which are pointed with the arrows, represent the piezoelectric modulus $d_{33}$. In actual samples the hysteretic curves deviate from the idealistic ones due to a number of reasons related to the sample polycrystalline nature, various structural imperfections, specifics of the experimental measurements.

**Experimental Set-Up and PFM measurements**

The PFM setup realized in Next scanning probe microscope is shown in Figure 2. A conductive probe is brought into a contact with a sample and its load is adjusted by varying the deflection set-point. The piezoelectric activity is stimulated with an AC voltage applied between the tip and the sample that causes the periodical expansion or contraction of the sample.

The changes of the sample dimensions are magnified by the cantilever deflection or bending and the latter are detected through the AC signals of different segments of the quadrant photodetector. The amplitude and phase of the vertical tip displacement are recorded by one of the lock-in amplifiers, and they are presented as VPFM amplitude and phase. Another amplifier is used for a detection of the amplitude and phase of the lateral response of the probe.

They are known as LPFM amplitude and phase. Instead of amplitude and phase one can measure amplitude cosine and sine components. Studies of local electromechanical properties can be performed at individual surface locations by recording the amplitude and phase signals when bias voltage is changing between the chosen limits of different polarities. By analogy with macroscopic studies of hysteretic loops of ferroelectrics, the amplitude versus bias voltage curve defines the local strain response and phase versus bias voltage dependence reflects the sample polarization behavior.

The dependence of versus bias voltage is known as the piezoresponse curve, which is often used in PFM studies. By analyzing local hysteresis loops, one learns about the piezoelectric properties of small piezo- and ferroelectric domains such as coercive voltages, nucleation voltages, forward and reverse saturation and permanent responses, as well as the effective work of switching, which is defined by the area embraced by the hysteresis loop [7].

Here it is worth mentioning several experimental details of practical PFM. They include a sample preparation, a choice of the probe and operational parameters such as a deflection set-point, amplitude and frequency of the electric excitation. Flat samples such as films are most suitable for PFM studies whereas the ceramic specimens need to be polished prior to imaging. A particular care should be taken for a removal of traces of abrasive from the sample surface and for preventing its external contamination.

Typically, the conducting probes with stiffness in relatively large range: from 0.1 N/m to 40 N/m range can be applied for the piezo-resistive studies. The resonant frequencies of these probes are in the 10-400 kHz range and upon contact they are shifted to higher frequencies.

Another important parameter for measurements of small cantilever deflections and amplitudes is the optical sensitivity, which is the reversal of the probe length. Therefore, the shorter probes,
which are stiffer than longer ones, do provide a better signal yet their applicability is directly related to mechanical strength of the sample. The samples examined in our studies were stiff enough that we can use the conducting probes with spring constant of ~ 40N/m. This helps minimizing the undesirable cantilever-sample electrostatic effects, which can be substantial when soft probes are applied [7, 9].

In NEXT microscope, PFM studies can be performed using the voltage in the (-50V) – (+50V) range depending on the sample nature and thickness. The use of lower voltages has an advantage of reducing the electrostatic force interactions, which might “contaminate” the piezo-response measurements. An electric excitation with low voltages (below 1V) is particularly important for spectroscopic measurements.

The electric AC field, which stimulates the sample piezo-response, in the tip-sample-bottom electrode configuration can be generated by applying the bias either to the tip or the bottom electrode. An unwanted cross-talk between the driving signal and the piezo-response measurements can be avoided by supplying a bias voltage to the bottom electrode with a separate shielded wire. A choice of the frequency of the electric excitation is quite peculiar. One can either use the frequency well below or at the probe contact resonance. In case of sub-resonant excitation the amplitude of the probe response directly follows the sample displacement. At the contact resonance the amplitude of the probe response (A) equals to \( d_{33} \times V_{ac} \times Q \), where \( Q \) is the quality factor of the contact resonance. The operation at the frequency of the contact resonance is more sensitive yet at these conditions the quantitative estimates of the piezo-response are rather complicated due to signal amplification.

The mechanical tip-force control during scanning in the contact mode is performed by varying the deflection set-point. The chosen set-point should insure a stable tip-sample contact and prevents the sample damage. In some cases the scanning probe might swipe a surface contamination and this helps for establishing the direct contact with the examining sample.

The above considerations are illustrated by imaging of a ceramic sample of PLZT in Figures 3a-d. The imaging of PLZT surface in the oscillatory amplitude modulation mode revealed a presence of surface adsorbate, which might originate from debris of polishing procedure or contamination during storage. This adsorbate layer, which is seen as bright patches in the height image, was gradually removed by a scanning probe during when PFM measurements in the contact mode were initiated, Figures 3a-3b.

The repetitive scanning of this area helps cleaning the sample surface, which exhibits a number of polishing lines, Figure 3c. Remarkably, the VPFM phase contrast, which reveals the domain morphology of the sample, is much cleaner on the areas free from the
Actually, in studies with the probe, which is 240 micron in length and has spring constant around 2 N/m, the piezoresponse in the phase images was most noticeable with the operation at the contact resonance near 364 kHz. More peculiarities of the PFM measurements will be mentioned in the analysis of the data obtained on different samples.

**Selected Examples**

**Lead Zirconate Titanate - PZT film**

The PFM studies of the piezoelectrics and ferroelectrics include domain imaging, local spectroscopic studies and polarization procedures. PZT is one of the most known piezoelectric material with high $d_{33}$ (100-500 pm/V), high remnant polarization and high dielectric constant. This material is used for different actuators and PZT films serve as capacitors and data storage elements. Studies of PZT with scanning force microscopy were initiated almost 20 years ago [10]. We have performed PFM imaging of a PZT film, which is 4mm thick, using the conducting probes with spring constants of 2N/m and 40 N/m.

The earlier study of this film showed that is has a columnar structure with random orientation of the grains with sub-micron lateral dimensions [8]. The height and PFM images of this sample obtained with the softer probe, Figures 4a-4c, reveal it grainy topography and the unique contrast of the VPFM phase image with the domains of opposite contrast. The cross-section taken along the several domains demonstrates that they differ by 180 degrees, Figure 4d. The contrast of the PFM amplitude image is quite dissimilar to the phase image and because it has a strong contribution of the sample topography.

This effect is not unusual for the operation at the contact resonance in which topography variations are amplified as well as the sample piezo-response. A comparison of the
topography image with the amplitude and phase maps for the PFM operation at the sub-resonant frequency with the stiff probe shows that the latter reflect the intrinsic domain structure of the sample that is not related to the surface topography, Figures 4e-f.

The cross-section of a part of the phase image in Figure 4h reveals that the contrast reflects the reverse polarization of the domains. There are a large number of small domains with the diameter in the 50-100 nm range and some of them having opposite polarization. In the VPFM amplitude image some of the domains are surrounded by darker borders that can be assigned to the domain walls. Our observations of the domain morphology are consistent with those found in the studies of other PZT films [11]. The results of PFM spectroscopy measurements on the PZT films are illustrated by one set of the hysteresis curves in Figures 5a-c. The amplitude versus bias curve has a characteristic “butterfly” shape that is actually smoothened idealistic strain versus bias curve in Figure 1b. The polycrystalline nature of the sample is reflected in the experimental curves.

The abrupt transitions in the phase curve take place at bias voltage around ±7V. Depending on a location this coercive voltage varies and can reach ±15V values. This information is instructive when one wants to polarize the sample. One of the areas of PZT film was polarized by scanning the probe with DC bias voltage of 20V, Figures 6a-b. The effect of polarization, its magnitude, and possible dissipation can be monitored in the PFM mode.

The PFM imaging of a slightly larger area revealed that the polarized region exhibits a much stronger response compared to its non-polarized surrounding. This effect can be reversed by scanning the polarized area with the probe having negative bias voltage of -20V. Such switching of the polarization was achieved in the
The large-scale images (not shown here) show that the sample is composed of the grains of irregular hexagonal shape, which are typical for dense packing in hot-pressed ceramics. The largest grains have up to 10 microns in dimensions and a part of one of the grains is presented in Figures 7a-c. The height image of the sample reveals multiple polishing scratches (Figure 7a) whereas the domain morphology is clearly distinguished in the VPFM amplitude and phase images (Figures 7b-c). Light and dark areas correspond to elongated wavy domains with opposite signs of the vertical polarization.

This is evidenced by the 180-degrees steps seen in the phase profile in Figure 7d. The cross-section profile, which is made in the VPFM amplitude image, shows the depressed traces that represent the domain walls. These features are around 50 nm in width and they have a curved shape that is known as the domain roughness originated from the pinning of the domain walls by randomly distributed immobile defects. Domain walls should be flat in the absence of irregularities pinning the wall motion. Measurements of the domain walls dynamics and wall roughness of PLZT are described in more details in [13].

The PFM spectroscopy data, which were obtained for different amplitudes of the electric field excitation, are presented in Figures 8a-f. The shape of the amplitude, phase and piezo-response curves is similar to one obtained for PZT sample. The coercive voltages are below 10V. With the increase of the excitation voltage the signal-to-noise ratio of the curves improves yet it leads to a noticeable deviation of the phase of the piezo-response curve and increase of the effective work of switching.

An examination of the locations, which were used for harvesting spectroscopy data, showed the circular

**Figure 7.** Height and PFM images of PLZT film obtained with the conducting probe with 40N/m stiffness. The contrast covers the height variations in the 0-10 nm range in (a). The amplitude changes in (b) are in the 0-0.55 nA range. The phase variations in (c) are in the 0-350 degrees range. The amplitude and phase profiles along the traces marked with the white dashed lines in (b) and (c) are shown in (d). The measurements were performed with the electric excitation 10V at 100kHz.
traces of local polarization, Figure 9a-b. Both effects need a further investigation combining the experimental results and finite element modeling of tip-sample electrostatic and electromechanical interactions.

**Parallel Polarized Lithium Niobate, PPLN**

Lithium niobate ($\text{LiNbO}_3$) is the man-made ferroelectric material that is widely applied in acoustics transducers, delay lines, filters, optical amplitude and phase modulators, second harmonic generators and other technological products. The material is usually made as the macroscopic single crystal. At room temperature lithium niobate crystals exhibits a hexagonal symmetry with polarization along the c-axis, which arises from the displacement of cations (Li$^+$ and Nb$^{5+}$) with respect to oxygen planes along the c-axis. Thus, only two domain orientations are possible in lithium niobate (antiparallel 180° domains). For optical applications the crystals are often polished with the c-axis is perpendicular to the surface and polarized above the Curie point (1210°C) in different patterns using a lithographic mask, [14].

A similar specimen with parallel polarized domains is distributed by NT-MDT as the standard for PFM training and applications. The height and PFM images of PPLN standard are shown in Figures 10a-c. A polished surface of this sample is very smooth and has no traces of the domain morphology, Figure 10a. The VPFM amplitude image reveals the domain pattern with two bright 5-mm wide “fingers”, Figure 10b.

The image contrast reflects the minimal amplitude variations in the fingers and the matrix but well distinguished domain walls. The cross-section amplitude trace in Figure 10d indicates that the depressions representing the domain walls have a sub-100 nm width. The pronounced phase contrast of ~180 degrees in Figure 10c points on the opposite polarization of the fingers and their surroundings.

Due to possible imperfections of the lithographic mask, in some locations of the standard sample one can find domains with slightly rough walls as seen in Figures 11a-d. This region with a smooth topography includes the differently polarized domains with high contrast amplitude and phase signals. The cross-section in the 1-mm amplitude image shows that the width of the wall at the half depth is ~ 50 nm.

This value is larger than the domain wall thickness in the 5-15 nm range determined in single crystals of (Ba, Pb)TiO$_3$ with electron microscopy [15]. The analysis of the broadening of the wall thickness includes the contributions of the probe dimensions.
the instrumental set-up similar to PFM but called as a dynamic contact operation of electrostatic force microscopy [17]. This work and the latter publication [18] presented a variety of TGS domain morphologies examined at different temperatures. These studies were performed with the probe scanned the sample in the contact mode with electric bias of 5V at 40 kHz.

The observation of the positively and negatively polarized domains, whose morphology does not affected by topographic features, was explained by detecting the electrostatic force variations caused by the surface charge density. This explanation is the alternative to the piezo-response effect.

We have examined a single crystal of TGS of few millimeters in thickness. The crystal was cleaved to reveal a fresh surface (a-c plane). The height image of the surface reveals multiple patches with a depression slightly above 1 nm in depth, Figure 12a. These features as well as small islands on the similar height can be assigned to the crystallographic steps in the b axis which has a crystallographic period of 1.27 nm. The VPFM phase image (Figure 12b) is featureless, most likely, because the crystal consists of equal number of positive and negative polarization domains with no piezoelectric effect.

The situation changed after the crystal was heated to temperature above the material Curie point (49°C) and then cooled back to room temperature. As the height image of the annealed sample did not show changes (Figure 12c), the 180 degrees steps differentiate the domains of the opposite polarity appeared in the VPFM phase image, Figure 12d.

The extended bright strips represent the parts of extended finger-like domains extended along the crystallographic a axis. The height and VPFM phase images of the larger area with complex electrostatic interactions and depolarization field at the sample surface [16]. The questions regarding the spatial resolution of PFM measurements have been already addressed [17] but still is a subject of the debate.

**Crystals of Triglycine Sulphate - TGS**

Triglycine sulfate (TGS) is one of the most widely studied ferroelectric materials, which is broadly used together with its deuterated analog for infrared detectors. TGS is one of the few ferroelectrics known to exhibit a second-order phase transition and hence, to offer possibilities for the observation of this critical phenomenon very close to the transition temperature. Below the Curie temperature, TGS crystal exhibits antiparallel 180-degree domains, which are generally parallel to the b axis.

The domain shape of TGS is known to be of a lenticular shape, elongated in the direction parallel to the a axis. TGS is suitable for the study of static ferroelectric domain structures and domain dynamics by scanning probe microscope, because the direction of the spontaneous polarization is perpendicular to the cleaved surface (a–c plane).

One of the first local studies of TGS was performed with

![Figure 10](image_url)
morphology and composition strongly depends on film growth parameters, and substrate and electrode configurations. Typically BFO films have [001] crystallographic orientation, therefore, the ferroelectric polarization should manifest itself in VPFM and LPFM signals. Therefore, PFM can be applied for comprehensive characterization of anisotropic polarization and nanoscale switching mechanisms.

The height and PFM images of the epitaxial BFO film, which were recorded at the different orientation of the sample striped morphology, are presented in Figures 13a-j.

The probe was aligned along the Y-coordinate of the images. The domains with the opposite polarization are well distinguished in the VPFM and LPFM phase images, when they are recorded with the strips aligned in the ~ 45 degrees with respect to the probe, Figures 13a-c. When the strips were aligned perpendicular and parallel to the probe axis then the domain contrast was only enhanced respectively in VPFM phase image (Figures 13d-f) and in LPFM phase image (Figures 13g-j).

This effect can be explained by a strong in-plane piezoresponse of this sample, which is directed perpendicular to the strips. This response causes a buckling of the probe (strong normal force signal) when the probe is oriented perpendicular to the strips. When the probe is along the strips, the same effect causes a torque of the probe (strong lateral force signal).

The cross-section profile of the trace in Figure 13f indicates that the observed domains differ by 180 degrees phase changes.

In addition to the thin film we have examined a monodomain BFO material and the high-resolution images of this sample are presented in Figures 14a-c. The ferroelectric domains and their walls are well distinguished in
were accompanied by a deposition of positive and negative surface charges. This is evident from single-pass KPFM image, which was obtained at the polarized location, Figure 15d. The surface potential variations at this location were in the range of several hundreds of millivolts.

**PFM challenges and opportunities**

The goal of the present Application Note is to demonstrate that the NEXT scanning probe microscope has the broad capabilities for collecting reliable high-quality PFM imaging and spectroscopy data. This goal has been achieved in studies of five different materials. The presented results serve as the basis for more advanced research can be outlined in the following. Despite the wide application of PFM in the research of piezoelectric and ferroelectric materials, the imaging mechanism, particular the quantitative measurements and their interpretation meet a number of challenges. In the detailed analysis the additive contributions of the long-range electrostatic forces between the sample and the cantilever and the electromechanical tip-sample interactions to the total PFM response should be considered [3].

The sample-cantilever electrostatic forces lead to a constant background to the measured piezoresponse, and it can be minimized by using tall and high aspect-ratio tips. This suggestion needs to be verified with high-aspect probes having extra-long tips. The use of the probe with T-shaped cantilever that carrying the tip whose position is offset from the cantilever axis enhances the torsional response of the probe to the in-plane piezoresponse.

Therefore, such probe can be useful for studies the sample with strongly anisotropic polarization such as BFO films. The
The electroelastic contribution to the piezoresponse depends strongly on the contact interface between the tip and the surface, the shape, size, and material of the tip itself. Therefore, the use of metal-coated, stiff cantilever with large force (strong indentation) is most desirable for PFM imaging. This is also emphasized by the fact that these probes are short that provides high optical sensitivity for the detection of the small sample displacements.

The only limitation of the stiff probes is their application to soft samples in the contact mode operation. Another concern for quantitative PFM measurement is the calibration of the instrument for quantitative studies of the piezoelectric coefficient. However, such measurements are complicated by the presence of a frequency dependent instrument background and other reasons.

The needed efforts for accurate calibration and measurement of quantitative piezoelectric constants were proposed in [22]. In the future PFM applications it is worth expanding the measurements to the broader...
Figure 14. Height and PFM images of a monodomain BFO sample. The surface corrugations in (a) are in the 0 – 4 nm range. The amplitude variations in (b) are in the 0-1.3nA range. The phase difference of the domains in (c) is around 180 degrees. The measurements were performed with the electric excitation 3V at 10kHz. (d)-(f) PFM curves obtained at the location marked with a star in (b) with the electric excitation of 0.5V at 30kHz. The conducting probe with the spring constant of 40N/m was used in the experiments.

Figure 15 (right columns). Height and PFM images of a monodomain BFO sample after a central 2-mm area was polarized by a scanning probe with +50V bias and then the polarization of the inner 1-mm region was switched back by the scanning probe with -50V bias. The surface corrugations in (a) are in the 0 - 20 nm range. The amplitude variations in (b) are in the 0-7nA range. The phase difference between the domains in (c) is around 180 degrees. The measurements were performed with the electric excitation 20V at 20kHz applied to the conducting probe with 2N/m stiffness.

frequency range to fully utilize the wide bandwidth (up to 8 MHz) of NEXT microscope. In such work, higher resonances of the probes in the several MHz range can be applied for the detection of piezoresponse.

The combination of PFM studies with other AFM-based electric modes such as single- and double-pass KPFM (Figure 15d) and dielectric response can be very informative in characterization of ferroelectrics and might help to figure out the controversy described in [18].

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